
UNIVERSITY OF SANTO TOMAS

The Graduate School
**CENTER FOR CONTINUING PROFESSIONAL
EDUCATION & DEVELOPMENT**
AND
THE PHILIPPINE STOCK EXCHANGE, INC.

7th PSE-UST CERTIFIED SECURITIES SPECIALIST COURSE

Course Date: August 15 – November 28, 2015
Saturday, 8:00 am – 6:00 pm
Venue: Thomas Aquinas Research Complex

Rationale:

The Certified Securities Specialist Course (the Securities Course or the Program) is a key initiative of the Philippine Stock Exchange, Inc. (PSE) in partnership with the UST Center for Continuing Professional Education and Development (CCPED) envisioned to provide continuing education for stock market participants.

It is a 124-hour program that puts emphasis on capital markets, fundamental analysis, technical analysis, valuation techniques, portfolio management, business ethics, securities regulations, market dynamics, and real world applications of finance principles.

Course Description:

1. The PSE-UST Securities Course is a certification program.
2. The Program is module-based, comprising of 14 subject areas conducted in succession. Each module covers an intensive learning process to ensure a good working knowledge and appreciation of the subject.
3. The Program will be facilitated by carefully selected market practitioners and academicians with extensive knowledge of the capital markets.
4. Written tests are given at the end of each module while a final comprehensive exam is taken by the participants at the end of the Program.
5. PSE and UST CCPED shall confer the title "Certified Securities Specialist" to participants who will successfully complete the Program. Successful completion requires passing all end-of-module exams and the final comprehensive exam, and completing all other requirements of the Program.
6. Successful participants of the program may earn a six-unit elective in the Master in Business Administration (MBA) program of the UST Graduate School.

Objectives:

1. To provide a continuing education program for the public that will enhance their knowledge about the capital markets industry, with specific focus on the equities industry.
2. To enhance the investing, trading and analytical skills of the participants.
3. To promote an investment culture among Filipinos and the general public.

Program Curriculum:

1. Organization and Functioning of the Financial and Equities Markets
2. Quantitative Methods of Finance
3. Macroeconomics
4. Analysis and Use of Financial Statements
5. Valuation
6. Fundamental and Technical Analysis
7. Risk Management
8. Fixed Income and the Bond Market
9. Raising Capital in the Capital Markets
10. Derivatives
11. Portfolio Management
12. Ethics in the Professional Asset Management Industry
13. Securities Regulation
14. Recent Developments in the Capital Markets

Curriculum and schedule may be subject to change without prior notice.

Target Participants:

This Program is designed for:

- The investor who already understands basic stock investing and would like to manage his/her own portfolio.
- Trading participants or market/industry practitioners who want to enhance investment, trading, and analytical skills.
- Individuals interested in learning about the capital markets, particularly, the equities market, or considering a career in finance or the capital markets industry.

Methodology:

Lecture discussions, in-class examinations and exercises, and group assignments are conducted to ensure that the participants have a clear grasp and appreciation of the Program content. Multi-media presentations and hands-on trainings using sophisticated market information software are also conducted to complement classroom discussions.

Participants are also required to attend at least two (2) activities organized by the PSE and its partners, including, but not limited to, a seminar on the PSE's trading system, industry and economic briefings, investment forums, and brokerage visits. The schedule of these events will be announced by the PSE through the course coordinators.

Schedule:

Classes are held once a week on Saturdays from 8:00 am to 6:00 pm. The Program will last approximately sixteen (16) weeks excluding the graduation ceremony.

Registration:

There are two ways to register for the PSE-UST Securities Course:

1. Through email
 - a. Log on to the website of CCPED <http://graduateschool.ust.edu.ph/ccped>.
 - b. Click on the brochure of the **PSE-UST Certified Securities Specialist Course**.
 - c. Accomplish reservation form and email to ust_ccped@yahoo.com and wait for a confirmation notice of receipt.
2. Onsite registration
 - a. Visit UST CCPED during business hours (Tue-Sat, 8:00 AM to 5:00 PM) and look for the Securities Course Secretariat.
 - b. Request for a **PSE-UST Certified Securities Specialist Course Reservation Form**.
 - c. Accomplish the form and submit it to the Securities Course representative.

Requirements:

- a. Accomplished PSE-UST Certified Securities Specialist Course Reservation Form
 - b. One (1) copy of 2x2 ID picture
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Program Fees:

Each participant who enrolls in the Program shall pay the:

- a. **Regular Rate:** Php 55,000.00
- b. **Early Tiger Rate:** Php 50,000.00 (Should the participant register on or before July 31, 2015. Otherwise, the regular rate applies.)
- c. **Group Discount Rate:** Php 47,000.00 should an organization enroll at least three (3) participants
- d. Payment can be made by depositing a reservation fee of Php 5,000.00 (non-refundable in case you cancel your reservation a week before the program starts) at any BPI branch to Account Name: University of Santo Tomas, Account No. 0151-0000-45.
- e. Please email a copy of the deposit slip, together with the accomplished reservation form to ust_ccped@yahoo.com before the course date and wait for a confirmation of receipt. You may pay the remaining balance through check payable to the University of Santo Tomas or in cash on the first day of the program at the registration. We discourage walk-in participants.

Reservation Form:

☐ YES, I will attend the 7th PSE-UST Certified Securities Specialist Course on August 15 – November 28, 2015 at the UST Graduate School.

Full Name: _____

First Name M.I. Surname

Position: _____

Company/Organization: _____

Address: _____

Office Tel. No. _____

Fax No. _____

Cell phone No. _____

Email Address: _____

Signature: _____

You may reproduce the form for additional participants.

UST:S009-00-FO01

UST CCPED PROGRAM OFFERINGS

We partnered with the industry's foremost authorities to develop quality training & development programs intended for forward thinking professionals toward their career advancement and leading to organizational transformation.

We offer customized training & development programs that are designed to update knowledge, enhance competencies and improve professional performance to effectively address the needs of specific disciplines and industries.

- I. Human Resource Management
- II. Financial Management
- III. Business Management / Entrepreneurship
- IV. Psychology
- V. Engineering / Technology Management / Design
- VI. Marketing / Sales / Advertising
- VII. Educational Management
- VIII. Architecture
- IX. Social Science / Humanities and Liberal Arts
- X. Health and Natural Sciences

Contact Details:

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